# APR 1 0 2007

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## NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

| SEC USE ONLY |         |        |  |  |  |  |
|--------------|---------|--------|--|--|--|--|
| Prefix       |         | Serial |  |  |  |  |
|              |         |        |  |  |  |  |
| DAT          | E RECEI | √ED    |  |  |  |  |
|              |         |        |  |  |  |  |

3/199 heck if this is an amendment and name has changed, and indicate change.) Name of Offering ( Sale of Class B-1 Membership Interests ULOE ☐ Section 4(6) ☐ Rule 504 ☐ Rule 505 □ Rule 506 Filing Under (Check box(es) that apply): ☐ Amendment Type of Filing: ☑ New Filing BASIC IDENTIFICATION DATA 1. Enter the information requested about the issuer Name of Issuer (☐ check if this is an amendment and name has changed, and indicate change.) Noble View, Telephone Number (Including Area Code) (Number and Street, City, State, Zip Code) Address of Executive Offices (860) 824-7093 176 Ashley Falls Road, North Canaan, CT 06018 Telephone Number (Including Area Code) Address of Principal Business Operations (Number and Street, City, State, Zip Code) (if different from Executive Offices) **Brief Description of Business** Insurance agency Type of Business Organization ☑ other (please specify) THOMSON ☐ limited partnership, already formed □ corporation Limited Liabi □ business trust ☐ limited partnership, to be formed Year Month 4 Actual or Estimated Date of Incorporation or Organization: 2 0 (Enter two-letter U.S. Postal Service abbreviation for State: Jurisdiction of Incorporation or Organization: CN for Canada; FN for other foreign jurisdiction) Т

## GENERAL INSTRUCTIONS

### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering. any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

## ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

| <ul> <li>Each executive office</li> </ul>             | r and director of | corporate issuers and of    | corporate general and ma | naging partners  | of partnership issuers; and          |
|---|-------------------|-----------------------------|--------------------------|------------------|--------------------------------------|
| <ul> <li>Each general and man</li> </ul>              | naging partner of | partnership issuers.        |                          |                  |                                      |
| Check Box(es) that Apply:                             | ☐ Promoter        | ⊠ Beneficial Owner          | ☐ Executive Officer      | ☐ Director       | ☐ General and/or Managing Partner    |
| Full Name (Last name first, if in SG Insurance Inve   |                   | nd, LLC                     |                          |                  |                                      |
| Business or Residence Address                         | (Number and St    | reet, City, State, Zip Cod  | e)                       |                  |                                      |
| 100 Wells Street,                                     | Ste. 211          | , Hartford, CI              | 06103                    |                  |                                      |
| Check Box(es) that Apply:                             | ☐ Promoter        | □ Beneficial Owner          |                          | ☑ Director       | ☐ General and/or Managing Partner    |
| Full Name (Last name first, if i<br>Gay, Peter        | ndividual)        |                             |                          |                  |                                      |
| Business or Residence Address                         | (Number and St    | treet, City, State, Zip Cod | e)                       |                  |                                      |
| 291 Aberdeen Lane                                     | , P.O. Bo         | x 100, Mill Ri              | ver, MA 01244            |                  |                                      |
| Check Box(es) that Apply:                             | ☐ Promoter        | ☐ Beneficial Owner          | ☐ Executive Officer      | ☑ Director       | ☐ General and/or Managing Partner    |
| Full Name (Last name first, if i<br>Norris, Robert    | ndividual)        |                             |                          |                  |                                      |
| Business or Residence Address                         | s (Number and S   | treet, City, State, Zip Coo | le)                      |                  |                                      |
| 20 Prospect Stree                                     | t, Great          | Barrington, MA              | A 01230                  |                  |                                      |
| Check Box(es) that Apply:                             | ☐ Promoter        | ☐ Beneficial Owner          | ☐ Executive Officer      | □ Director       | ☐ General and/or Managing Partner    |
| Full Name (Last name first, if i<br>Welnicki, Raymond |                   |                             |                          |                  |                                      |
| Business or Residence Address                         | s (Number and S   | treet, City, State, Zip Coo | de)                      |                  |                                      |
| 43 Pondview Drive                                     | e, Manches        | ster, CT 06040              |                          |                  |                                      |
| Check Box(es) that Apply:                             | ☐ Promoter        | ☐ Beneficial Owner          | ☐ Executive Officer      | □ Director     □ | ☐ General and/or  Managing Partner   |
| Full Name (Last name first, if Ahn, Dong              | individual)       |                             |                          |                  |                                      |
| Business or Residence Addres                          | s (Number and S   | Street, City, State, Zip Co | de)                      |                  |                                      |
| 37 Abbe Road, Mar                                     | nchester,         | CT 06040                    |                          |                  |                                      |
| Check Box(es) that Apply:                             | ☐ Promoter        | ☐ Beneficial Owner          | ☐ Executive Officer      | ☑ Director       | ☐ General and/or<br>Managing Partner |
| Full Name (Last name first, if Totty, Brian           | individual)       |                             |                          |                  |                                      |
| Business or Residence Addres                          | s (Number and S   | Street, City, State, Zip Co | de)                      |                  |                                      |
| 381 Elizabeth Str                                     | reet, San         | Francisco, CA               | 94114-3339               |                  |                                      |
|   |                   |                             |                          |                  |                                      |

Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity

securities of the issuer;

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

| <ul> <li>Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and</li> <li>Each general and managing partner of partnership issuers.</li> </ul> |  |                            |                     |            |                                      |  |  |  |  |  |
|--|--|----------------------------|---------------------|------------|--------------------------------------|--|--|--|--|--|
| Check Box(es) that Apply:  | □ Promoter   | ☐ Beneficial Owner         | ☐ Executive Officer | ☑ Director | ☐ General and/or Managing Partner    |  |  |  |  |  |
| Full Name (Last name first, if David A. Schupp   | individual)  |                            |                     |            | _                                    |  |  |  |  |  |
| Business or Residence Addres   | Business or Residence Address (Number and Street, City, State, Zip Code) |                            |                     |            |                                      |  |  |  |  |  |
| 100 Wells Street   | , Ste. 211   | , Hartford, Cl             | 06103               |            |                                      |  |  |  |  |  |
| Check Box(es) that Apply:  | ☐ Promoter   | ☐ Beneficial Owner         | ☑ Executive Officer | □ Director | ☐ General and/or<br>Managing Partner |  |  |  |  |  |
| Full Name (Last name first, if Grochmal, Joseph  | •  | _                          |                     |            |                                      |  |  |  |  |  |
| Business or Residence Addres   | ss (Number and St  | reet, City, State, Zip Cod | le)                 |            |                                      |  |  |  |  |  |
| 176 Ashley Falls   | Road, Nor  | th Canaan, CT              | 06018               |            |                                      |  |  |  |  |  |
| Check Box(es) that Apply:  | ☐ Promoter   | ☐ Beneficial Owner         | ☐ Executive Officer | ☐ Director | ☐ General and/or  Managing Partner   |  |  |  |  |  |
| Full Name (Last name first, if   | `individual)   |                            |                     |            |                                      |  |  |  |  |  |
| Business or Residence Address (Number and Street, City, State, Zip Code)   |  |                            |                     |            |                                      |  |  |  |  |  |
| Check Box(es) that Apply:  | ☐ Promoter   | ☐ Beneficial Owner         | ☐ Executive Officer | □ Director | ☐ General and/or Managing Partner    |  |  |  |  |  |
| Full Name (Last name first, if   | individual)  |                            |                     |            |                                      |  |  |  |  |  |
| Business or Residence Address (Number and Street, City, State, Zip Code)   |  |                            |                     |            |                                      |  |  |  |  |  |
| Check Box(es) that Apply:  | ☐ Promoter   | ☐ Beneficial Owner         | ☐ Executive Officer | ☐ Director | ☐ General and/or Managing Partner    |  |  |  |  |  |
| Full Name (Last name first, if   | individual)  |                            |                     |            |                                      |  |  |  |  |  |
| Business or Residence Address (Number and Street, City, State, Zip Code)   |  |                            |                     |            |                                      |  |  |  |  |  |
| Check Box(es) that Apply:  | ☐ Promoter   | ☐ Beneficial Owner         | ☐ Executive Officer | ☐ Director | ☐ General and/or Managing Partner    |  |  |  |  |  |
| Full Name (Last name first, if individual)   |  |                            |                     |            |                                      |  |  |  |  |  |
| Business or Residence Address (Number and Street, City, State, Zip Code)   |  |                            |                     |            |                                      |  |  |  |  |  |

Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity

securities of the issuer;

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| · · · · · · · · · · · · · · · · · · ·  |   |              |               |              |  |               | \$ 1         | J/A          |               |              |              |              |                 |
|--|---|--------------|---------------|--------------|--|---------------|--------------|--------------|---------------|--------------|--------------|--------------|-----------------|
| 2. What is the minimum investment that will be accepted from any individual? |   |              |               |              |  |               |              |              |               |              |              |              |                 |
| 3.   | Does the offering permit joint ownership of a single unit?  |              |               |              |  |               |              | Yes          | No            |              |              |              |                 |
|  |   | _            | -             |              |  |               |              |              |               |              |              | ⊠            |                 |
| 4.   | 4. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only. |              |               |              |  |               |              |              |               |              |              |              |                 |
| Full<br>N/A  |   | Last name    | first, if inc | lividual)    |  |               |              |              |               |              |              |              |                 |
|  |   | Residence    | Address (     | Number and   | l Street, Cit                          | y, State, Zip | Code)        |              |               |              | * 1          |              |                 |
| Nam  | e of As   | sociated B   | roker or D    | ealer        |  |               |              |              |               |              |              |              |                 |
| State  | es in Wi  | hich Person  | n Listed Ha   | as Solicited | or Intends                             | to Solicit Pu | rchasers     |              | • ,           |              |              |              |                 |
|  | (Check  | "All State   | s" or check   | c individual | States)                                |               |              |              |               |              |              |              | All States      |
| [A   | _   | [AK]         | [AZ]          | [AR]         | [CA]                                   | [CO]          | [CT]         | [DE]         | [DC]          | [FL]         | [GA]         | [HI]         | [ID]            |
| [I]<br>[M]   | -   | [IN]<br>[NE] | [IA]<br>[NV]  | [KS]<br>[NH] | [KY]<br>[NJ]                           | [LA]<br>[NM]  | [ME]<br>[NY] | [MD]<br>[NC] | [MA]<br>[ND]  | [MI]<br>[OH] | [MN]<br>[OK] | [MS]<br>[OR] | [MO]<br>[PA]    |
| [R   | _   | [SC]         | [SD]          | [TN]         | [TX]                                   | [UT]          | [VT]         | [VA]         | [WA]          | [WV]         | [WI]         | [WY]         | [PR]            |
| Full   | Name (  | Last name    | first, if inc | lividual)    |  |               |              |              |               |              |              |              |                 |
| Busi   | ness or   | Residence    | Address (     | Number and   | l Street, Cit                          | y, State, Zip | Code)        |              | 48 1 8 81 121 |              |              |              |                 |
| Nam  | e of As   | sociated B   | roker or D    | ealer        | ************************************** |               |              |              |               |              |              |              |                 |
| State  | es in Wl  | hich Persoi  | n Listed Ha   | ns Solicited | or Intends t                           | o Solicit Pu  | ırchasers    |              |               |              |              | <del></del>  | <del>-  -</del> |
| (Check "All States" or check individual States)                              |   |              |               |              |  |               |              | E            | ] All States  |              |              |              |                 |
| [A   | L]  | [AK]         | [AZ]          | [AR]         | [CA]                                   | [CO]          | [CT]         | [DE]         | [DC]          | [FL]         | [GA]         | [HI]         | [ID]            |
| [II]<br>EM   | _   | [IN]         | [IA]          | [KS]         | [KY]                                   | [LA]          | [ME]         | [MD]         | [MA]          | [MI]         | [MN]         | [MS]         | [MO]            |
| [M<br>[R   | -   | [NE]<br>[SC] | [NV]<br>[SD]  | [NH]<br>[TN] | [NJ]<br>[TX]                           | [NM]<br>[UT]  | [NY]<br>[VT] | [NC]<br>[VA] | [ND]<br>[WA]  | [OH]<br>[WV] | [OK]<br>[WI] | [OR]<br>[WY] | [PA]<br>[PR]    |
| Full Name (Last name first, if individual)                                   |   |              |               |              |  |               |              |              |               |              |              |              |                 |
| Business or Residence Address (Number and Street, City, State, Zip Code)     |   |              |               |              |  |               |              |              |               |              |              |              |                 |
| Name of Associated Broker or Dealer  |   |              |               |              |  |               |              |              |               |              |              |              |                 |
| States in Which Person Listed Has Solicited or Intends to Solicit Purchasers |   |              |               |              |  |               |              |              |               |              |              |              |                 |
| (Check "All States" or check individual States)                              |   |              |               |              |  |               |              | All States   |               |              |              |              |                 |
| [A   |   | [AK]         | [AZ]          | [AR]         | [CA]                                   | [CO]          | [CT]         | [DE]         | [DC]          | [FL]         | [GA]         | [HI]         | [ID]            |
| [I]<br>[M  |   | [IN]<br>[NE] | [iA]          | [KS]         | [KY]                                   | (LA)          | [ME]         | [MD]         | [MA]          | [MI]         | [MN]         | [MS]         | [MO]            |
| [R   |   | [SC]         | [NV]<br>[SD]  | [NH]<br>[TN] | [NJ]<br>[TX]                           | [NM]<br>[UT]  | [NY]<br>[VT] | [NC]<br>[VA] | [ND]<br>[WA]  | [OH]<br>[WV] | [OK]<br>[WI] | [OR]<br>[WY] | [PA]<br>[PR]    |

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| Total  |  |
|--|--|
| Equity   | 0                                      |
| Convertible Securities (including warrants)  | 0                                      |
| Convertible Securities (including warrants)  | <u>, -</u> , -                         |
| Partnership Interests  | 0                                      |
| Other (Specify) Limited liability company membership interests \$ 325,000 \$  Total  | 0                                      |
| Total  |  |
| Answer also in Appendix, Column 3, if filing under ULOE.  2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."  Agg  Number Investors  Accredited Investors  1 \$ | 325,000                                |
| 2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."  Agg  Number Investors  1 \$   | 325,000                                |
| this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."  Agg  Number Investors  Accredited Investors  1  \$  |  |
| Accredited investors.  | gregate Dolla<br>Amount of<br>Purchase |
|  | 325,000                                |
|  | N/A                                    |
| Total (for filings under Rule 504 only)  |  |
| Answer also in Appendix, Column 4, if filing under ULOE.   |  |
| <ol> <li>If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C – Question 1.</li> <li>Type of Offering</li> </ol>   | ollar Amount<br>Sold                   |
| Rule 505   | <u></u>                                |
| Regulation A   | s ·-                                   |
| Rule 504\$   |  |
| Total  |  |
| 4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.   |  |
| Transfer Agent's Fees  |  |
| Printing and Engraving Costs   |  |
| Legal Fees   | 15,000                                 |
| Accounting Fees  |  |
| Engineering Fees   |  |
| Sales Commissions (specify finders' fees separately)   |  |
| Other Expenses (identify)  |  |
| Total  |  |

| to be used for each of the purposes shown. If the ar furnish an estimate and check the box to the left payments listed must equal the adjusted gross proceed to Part C - Question 4.b above. | of the estimate. The total of the                         |  |                    |  |  |
|--|---|--|--------------------|--|--|
| to full expension he usere.  |   | Payments to Officers,<br>Directors, & Affiliates | Payments to Others |  |  |
| Salaries and Fees  |   | <u> </u>   | □\$                |  |  |
| Purchase of real estate  |   | <u>□ \$</u>                                      | □\$                |  |  |
| Purchase, rental or leasing and installation of ma   | achinery and equipment                                    | <u> </u>   | <u> </u>           |  |  |
| Construction or leasing of plant buildings and fa  | Construction or leasing of plant buildings and facilities |  |                    |  |  |
| Acquisition of other businesses (including the v offering that may be used in exchange for the as issuer pursuant to a merger)   | sets or securities of another                             | <b>\$</b>  | <u> </u>           |  |  |
| Repayment of indebtedness  | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                   | <u> </u>   | □\$                |  |  |
| Working capital  | .,,   | □ \$   | ☑ \$ 310,000       |  |  |
| Other (specify):   |   | <u> </u>   | □ \$               |  |  |
|  |   | □ <b>\$</b>                                      | □ \$               |  |  |
| Column Totals  |   | □ \$   | ⊠ \$ 310,000       |  |  |
| Total Payments Listed (column totals added)  |   | ⊠ \$   | 310,000            |  |  |
|  | D. FEDERAL SIGNATURE                                      |  |                    |  |  |
| The issuer has duly caused this notice to be signed by the signature constitutes an undertaking by the issuer to furni information furnished by the issuer to any non-accredited             | sh to the U.S. Securities and Exchange                    | Commission, upon write                           |                    |  |  |
| Issuer (Print or Type)   | Signature 1   | Date   |                    |  |  |
| Noble View, LLC  | Joseph a. Hochma  | April 5, 2007                                    |                    |  |  |
| Name of Signer (Print or Type)   | Title of Signer (Print or Type)                           |  |                    |  |  |
| Joseph L. Grochmal   | President   |  |                    |  |  |
|  |   |  |                    |  |  |

Indicate below the amount of the adjusted gross proceeds to the issuer used or proposed

## **END**

## **ATTENTION**

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)